

**MOTOROLA****Intellectual Property Section
Law Department**

MAR 28 2002

FAX COVER SHEETDate: 3/28/02To: USPTO

(Addressee's Name)

(Extension)

(Location)

703-746-4060

(Fax Number)

From: KEN HAAS

(Sender's Name)

847-576-6364

(Extension)

TOTAL NUMBER OF PAGE(S) 8 (INCLUDING THIS PAGE)

SD 09/942,010

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS
 Washington, D.C. 20521
 www.uspto.gov

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/942,010	08/29/2001	Messerges, Thomas	CR00286M

22917

MOTOROLA, INC.
 1303 EAST ALGONQUIN ROAD
 IL01/3RD
 SCHAUMBURG IL 60196

MAR 28 2002

Title: System and method for secure and convenient management of digital electronic content

Date Mailed: 03/18/2002

Request for Substitute Papers

The papers filed on 01/02/02 are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated 703-746-4060(OIPE).

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO strongly prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely reply to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: KENNETH A. HAAS Registration No.: 42,614

Signature: [Signature] Date: 3/28/02

O I P E
MAR 28 2002

*The date stamp hereon is an acknowledgment that on the date stamped,
the U.S. Patent and Trademark Office received the following.*

1/16/02


Docket No.:	CR00286M	Mail Date:	8/29/2001
Applicant:	MESSEGES ET AL	Application No.	09/942,010
Entitled:	A SYSTEM AND METHOD FOR SECURE AND CONVENIENT MANAGEMENT OF DIGITAL ELECTRONIC CONTENT		
OWJ/kgk	Paid by Deposit Account #13-4772 \$40.00		
All items marked with an "X" are included in First Class Mail Package.			
Pages	Description		
1	X	Return Receipt Postcard	
1	X	Response to Notice of Non-Recordation of Documents	
1	X	Fee Transmittal - 2 copies	
1	X	Transmittal Form	
		Executed Declaration	
5	X	Executed Assignment and Agreement with Recordation Sheet	
		Information Disclosure Statement	
		Form PTO/SB/08 w/prior art references (___ # of references)	

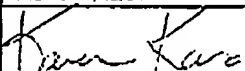
pat

PTO/SB/21 (08-00)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	09/942,010
		Filing Date	08/29/2001
		First Named Inventor	THOMAS S. MESSERGES
		Group Art Unit	2131
		Examiner Name	Unknown
Total Number of Pages in this Submission	16	Attorney Docket Number	CR00286M

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Preliminary Amendment <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s) <input type="checkbox"/> Extension of time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-Related papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CDs	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter with appropriate copies <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below) <input type="checkbox"/> page Declaration Combined with Power of Attorney <input checked="" type="checkbox"/> <u>Recordation Form Cover Sheet</u>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm or Individual	DANIEL W. JUFFERNBRUCH	Registration No.	33,122
Signature			
Date	1/16/02		

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage thereon, as first-class mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on the date listed below:	
Typed or printed name	Karen Kass
Signature	
Date	1/16/02


 O I P E
 MAR 28 2002

PTO/SB/17 (11-00) <div style="text-align: center;">FEE TRANSMITTAL</div> Patent fees are subject to annual revision		<div style="text-align: right;"><i>Comp. if Known</i></div> <table border="1" style="width:100%; border-collapse: collapse;"> <tr><td>Application Number</td><td>09/942,010</td></tr> <tr><td>Filing Date</td><td>08/29/2001</td></tr> <tr><td>First Named Inventor</td><td>THOMAS S. MESSERGES</td></tr> <tr><td>Examiner Name</td><td>TBA</td></tr> <tr><td>Group Art Unit</td><td>TBA</td></tr> <tr><td>Attorney Docket No.</td><td>CR00286M</td></tr> </table>		Application Number	09/942,010	Filing Date	08/29/2001	First Named Inventor	THOMAS S. MESSERGES	Examiner Name	TBA	Group Art Unit	TBA	Attorney Docket No.	CR00286M
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TOTAL AMOUNT OF PAYMENT (\$) 40.00															

METHOD OF PAYMENT 1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: Deposit Account Number <u>13-4772</u> Deposit Account Name <u>Motorola, Inc.</u> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other	FEE CALCULATION (continued) 3. ADDITIONAL FEES <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr><td></td><td></td><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td></tr> <tr><td></td><td></td><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late Provisional filing</td></tr> <tr><td></td><td></td><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td></tr> <tr><td></td><td></td><td>147</td><td>2520</td><td>147</td><td>2520</td><td>For filing a request for ex parte Reexamination</td></tr> <tr><td></td><td></td><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td></tr> <tr><td></td><td></td><td>113</td><td>1840*</td><td>113</td><td>1840*</td><td>Requesting publication of SIR after Examiner action</td></tr> <tr><td></td><td></td><td>115</td><td>110</td><td>215</td><td>65</td><td>Extension for reply within first month</td></tr> <tr><td></td><td></td><td>116</td><td>390</td><td>216</td><td>196</td><td>Extension for reply within second month</td></tr> <tr><td></td><td></td><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td></tr> <tr><td></td><td></td><td>118</td><td>1390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td></tr> <tr><td></td><td></td><td>128</td><td>1890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td></tr> <tr><td></td><td></td><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td></tr> <tr><td></td><td></td><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td></tr> <tr><td></td><td></td><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td></tr> <tr><td></td><td></td><td>138</td><td>1510</td><td>138</td><td>1510</td><td>Petition to institute a public use proceeding</td></tr> <tr><td></td><td></td><td>140</td><td>110</td><td>240</td><td>66</td><td>Petition to revive - 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late filing fee or oath			127	50	227	25	Surcharge - late Provisional filing			139	130	139	130	Non-English specification			147	2520	147	2520	For filing a request for ex parte Reexamination			112	920*	112	920*	Requesting publication of SIR prior to Examiner action			113	1840*	113	1840*	Requesting publication of SIR after Examiner action			115	110	215	65	Extension for reply within first month			116	390	216	196	Extension for reply within second month			117	890	217	445	Extension for reply within third month			118	1390	218	695	Extension for reply within fourth month			128	1890	228	945	Extension for reply within fifth month			119	310	219	155	Notice of Appeal			120	310	220	155	Filing a brief in support of an appeal			121	270	221	135	Request for oral hearing			138	1510	138	1510	Petition to institute a public use proceeding			140	110	240	66	Petition to revive - unavoidable			141	1240	241	620	Petition to revive - unintentional			142	1240	242	620	Utility issue fee (or reissue)			143	440	243	220	Design issue fee			144	600	244	300	Plant issue fee			122	130	122	130	Petitions to the Commissioner			123	50	123	50	Processing fee under 37 CFR 1.17(d)			126	180	126	180	Submission of IDS			581	40	581	40	Recording each patent assignment per property (times number of properties)			146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))			149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))			179	710	279	355	Request for Continued Examination (RCE)			169	900	169	900	Request for expedited examination of a design application	Other fee (specify) _____						
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1. BASIC FILING FEE <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>710</td><td>201</td><td>355</td><td>Utility filing fee</td></tr> <tr><td>106</td><td>320</td><td>206</td><td>160</td><td>Design filing fee</td></tr> <tr><td>107</td><td>490</td><td>207</td><td>245</td><td>Plant filing fee</td></tr> <tr><td>108</td><td>710</td><td>208</td><td>355</td><td>Reissue filing fee</td></tr> <tr><td>114</td><td>150</td><td>214</td><td>75</td><td>Provisional filing fee</td></tr> </tbody> </table> SUBTOTAL (1) (\$) _____	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Paid	101	710	201	355	Utility filing fee	106	320	206	160	Design filing fee	107	490	207	245	Plant filing fee	108	710	208	355	Reissue filing fee	114	150	214	75	Provisional filing fee	2. EXTRA CLAIM FEES <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from Below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Independent Claims</td> <td>-20* =</td> <td>18</td> <td></td> </tr> <tr> <td>Multiple Dependent Claims</td> <td>-3** =</td> <td>80</td> <td></td> </tr> <tr> <td></td> <td></td> <td>270</td> <td></td> </tr> </tbody> </table> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td></tr> <tr><td>102</td><td>80</td><td>202</td><td>40</td><td>Independent claims in excess of 3</td></tr> <tr><td>104</td><td>270</td><td>204</td><td>135</td><td>Multiple dependent claim, if not paid</td></tr> <tr><td>109</td><td>80</td><td>209</td><td>40</td><td>** Reissue independent claims Over original patent</td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td>** Reissue claims in excess of 20 and over original patent</td></tr> </tbody> </table> SUBTOTAL (2) (\$) _____	Total Claims	Extra Claims	Fee from Below	Fee Paid	Independent Claims	-20* =	18		Multiple Dependent Claims	-3** =	80				270		Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	103	18	203	9	Claims in excess of 20	102	80	202	40	Independent claims in excess of 3	104	270	204	135	Multiple dependent claim, if not paid	109	80	209	40	** Reissue independent claims Over original patent	110	18	210	9	** Reissue claims in excess of 20 and over original patent
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*OR NUMBER PREVIOUSLY PAID, IF GREATER. For Reissue, see above

SUBMITTED BY		<i>Complete (if applicable)</i>	
Name (Print/Type)	DANIEL W. JUFFERNBRUCH	Registration No.	33,122
Signature		Telephone	847-538-3129
		Mail Date	1/14/02

CR00286M

DOCKET NO.: CR00286M

UNITED STATES PATENT AND TRADEMARK OFFICE

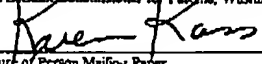
APPLICANT(S): THOMAS S. MESSERGES
APPLICATION NO.: 09/942,010
FILED: 08/29/2001
GROUP ART UNIT: 2131
TITLE: SYSTEM AND METHOD FOR SECURE AND CONVENIENT
MANAGEMENT OF DIGITAL ELECTRONIC CONTENT

OIPF
MAR 28 2002

Certificate of Mailing

Date of deposit: 11/16/02

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Signature of Person Mailing PaperKaren Kass
Printed Name of Person Mailing Paper

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: Customer Service Center
Initial Patent Examination Division

RESPONSE TO NOTICE OF NON-RECORDATION OF DOCUMENTS

Sir:

Responsive to the Notice to File Missing Parts of Application dated 01/03/2002, please find enclosed:

- | | | |
|-------------------------------------|----------|---|
| <input type="checkbox"/> | _____ | page Declaration Combined with Power of Attorney |
| <input checked="" type="checkbox"/> | <u>1</u> | page copy of Notice of Non-Recordation of Documents |
| <input checked="" type="checkbox"/> | <u>1</u> | Recordation Form Cover Sheet |
| <input checked="" type="checkbox"/> | <u>4</u> | pages executed Assignment |

The Commissioner is hereby authorized to charge all fees due to Account No. 13-4772. A fee transmittal is enclosed.

Respectfully submitted,

SEND CORRESPONDENCE TO:

Motorola, Inc.
Law Department
Customer Number: 22917

By: 

DANIEL W. JUFFERBRUCH
ATTORNEY of Record
Reg. No.: 33,122
Telephone: 847-538-3129
Fax No.: 847-576-3750

Form: PTO-1595

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

DOCKET NUMBER: CR00286M

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

THOMAS S. MESSERGES
EZZAT A. DABBISH
LARRY PUHL
DEAN VOGLER

2. Name and address of receiving party(ies)

Name: Motorola, Inc.Internal Address: Law DepartmentStreet Address: 1303 East Algonquin RoadCity: Schaumburg State: IL Zip: 60196

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Name of conveyance:

☒ Assignment ☐ Merger☐ Security Agreement ☐ Change of Name☐ OtherExecution Date: 08/30/2001 and 09/04/01

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is _____

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: MOTOROLA, INC.Internal Address: 1303 E. Algonquin Road

Street Address: _____

City: SchaumburgState: IL Zip: 60196

6. Total number of applications and patents involved:

17. Total fee (37 CFR 3.41) \$40.00☐ Enclosed☒ Authorized to be charged to deposit account8. Deposit account number: 13-4772

(Attach duplicate copy of this page if paying by deposit account.)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

DANIEL W. JUFFERNBRUCH

Name of Person Signing

Signature

1/14/02
DateTotal number of pages including cover sheet, attachments, and documents: 7Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231



UNITED STATES
PATENT AND
TRADEMARK OFFICE

MOTOROLA INC

JAN 14 2002

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JANUARY 03, 2002

PTAS

Chief Financial Officer and Chief Administrative Officer
Washington, DC 20231
www.uspto.gov

MOTOROLA, INC,
DANIEL W. JUFFERNBRUCH
LAW DEPARTMENT
1303 EAST ALGONQUIN ROAD, IL01-3RD
SCHAUMBURG, IL 60196



101885653A

DATE

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF NON-RECORDATION OF DOCUMENT

MAR 28 2002

DOCUMENT ID NO.: 101885653

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FISH & RICHARDSON P.C.

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Web Site
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Date April 2, 2002

To Commissioner for Patents
Washington DC 20231
Telephone: 703-308-1202

Facsimile number 11926-12700001 / 703-746-4060

From Dawn M. Corey
US Patent Paralegal

Re Umbrella SNPs CIP16 (0004.CIP16)
Application Number: 09/881,843
Filed: June 14, 2001
CONF. # 6608
Our Ref.: 11926-127001

Number of pages
including this page 1

Message The purpose of this facsimile is to request a copy of the Official Filing Receipt and Notice to File Missing Parts dated February 1, 2002 be faxed to the attention of Dawn M. Corey, at (617) 542-5070.
Your prompt response to this request is much appreciated.
Thank you for your assistance.

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MAR 28 2002

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Date April 2, 2002

To Commissioner for Patents
Washington DC 20231
Telephone: 703-308-1202

Facsimile number 11926-13700001 / 703-746-4060

From Dawn M. Corey
US Patent Paralegal

Re Umbrella SNPs CIP17 (0004.CIP17)
Application Number: 09/948,154
Filed: September 6, 2001
CONF. # 6608
Our Ref.: 11926-137001

Number of pages
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